



112132017005766



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

SEC Registration No. CS200803939
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

Document Information

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S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto

Contact Person

(02) 632-3143

Company Telephone Number

1 2

Month

3 1

Day

Compliance Report

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

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December 13, 2017

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex,
Roxas Boulevard, Pasay City, 1307

Attention: **Atty. Rachelle G. Remalante**
Officer in Charge
Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following director and officer of Top Frontier Investment Holdings, Inc. have attended the corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

	<u>Name</u>	<u>Designation</u>	<u>Date Attended</u>
1.	Iñigo U. Zobel	Director & Chairman	December 11, 2017
2.	Ramon S. Ang	Director, President & CEO	December 11, 2017
3.	Ferdinand K. Constantino	Director	December 11, 2017
4.	Bella O. Navarra	Chief Finance Officer	December 11, 2017
5.	Maria Rosario B. Balanza	Investment Relations Officer	December 11, 2017
6.	Irene M. Cipriano	Assistant Corporate Secretary	December 11, 2017

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

A handwritten signature in blue ink, appearing to read "V. Jacinto", is written over a faint circular stamp.

Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Iñigo Zobel

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 December 2017 at
SMC Head Office, San Miguel Avenue, Mandaluyong City


Benjamin I. Espiritu, Ph.D.
President

