



103212017002027



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines

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Company Information

SEC Registration No.	CS200803939
Company Name	TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification	Financial Holding Company Activities
Company Type	Stock Corporation

Document Information

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S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto

Contact Person

(02) 632-3145

Company Telephone Number

1 2

Month

3 1

Day

Compliance Report

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

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March 21, 2017

SECURITIES AND EXCHANGE COMMISSION
SEC Building, EDSA, Greenhills,
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**
Director
Corporate Governance and Finance Department

Re: **Compliance with the Corporate Governance
Training Requirement**

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, Justice Consuelo Ynares Santiago, an Independent Director of Top Frontier Investment Holdings, Inc. have attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on March 15, 2017.

For your information and reference, attached is the copy of the Certificate of Attendance.

Very truly yours,

Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Consuelo Ynares Santiago

for having completed the seminar on

CORPORATE GOVERNANCE

held on 15 March 2017 at
Phoenix Bulk Depot, Lanang, Davao City

Benjamin I. Espiritu, Ph.D.
President