

111292017004831



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

SEC Registration No. CS200803939
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

Document Information

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S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Irene M. Cipriano

Contact Person

(02) 632-3889

Company Telephone Number

1 2

Month

3 1

Day

Compliance Report

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

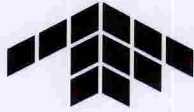
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TOP FRONTIER
INVESTMENT HOLDINGS, INC.

November 29, 2017

SECURITIES AND EXCHANGE COMMISSION
SEC Building, EDSA, Greenhills,
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**
Director
Corporate Governance and Finance Department

Re: **Compliance with the Corporate Governance
Training Requirement**

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, Mr. Ramon R. Bantigue, Internal Auditor Group Head of Top Frontier Investment Holdings, Inc. has attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on November 20, 2017.

For your information and reference, attached is the copy of the Certificate of Attendance.

Very truly yours,


Irene M. Cipriano
Assistant Corporate Secretary



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Ramon R. Bantigue

for having completed the seminar on

CORPORATE GOVERNANCE

held on 20 November 2017 at
SMC Head Office, San Miguel Ave., Mandaluyong City


Benjamin I. Espiritu, Ph.D.
President