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SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Received From : Head Office

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Doc Source

Company Information

SEC Registration No. CS200803939
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

Document Information

Document ID 111162016000490
Document Type LETTER/MISC
Document Code LTR
Period Covered November 15, 2016
No. of Days Late 0
Department CED/CFD/CRMD/MRD/NTD
Remarks

COVER SHEET

C S 2 0 0 8 0 3 9 3 9

S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto
Contact Person

(02) 632-3145
Company Telephone Number

1 2
Month

3 1
Day

Compliance Report
FORM TYPE

0 7
Month

0 9
Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



November 15, 2016

SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills,
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**
Director
Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors and officers of Top Frontier Investment Holdings, Inc. have attended the corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

| | <u>Name</u> | <u>Designation</u> | <u>Date Attended</u> |
|----|-----------------------------|---|----------------------|
| 1. | Iñigo U. Zobel | Director and Chairman | November 11, 2016 |
| 2. | Consuelo M. Ynares-Santiago | Independent Director | November 11, 2016 |
| 3. | Bella O. Navarra | Chief Finance Officer | November 11, 2016 |
| 4. | Virgilio S. Jacinto | Corporate Secretary and Compliance Officer | November 11, 2016 |
| 5. | Ma. Rosario B. Balanza | Investment Relations Officer | November 11, 2016 |
| 6. | Irene M. Cipriano | Assistant Corporate Secretary | November 11, 2016 |

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Iñigo Zobel

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President

